PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE duction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Applicati n Number	01/31/2001		
Filing Date			
First Named Inventor	James CARDUCCI, et al.		
Group Art Unit	1746		
Examiner Name	Unknown:		
Attorney Docket Number	3536 C02		

		ENCLOSURES (check all that apply)				
	d  cclaration(s)  Request  nent Request  ure Statement  niority	Assignment Papers (for an Application)  Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  After Allowance Comto Group  Appeal Communication (Appeal Notice, Brief, Reply)  Proprietary Informat  Status Letter  Other Enclosure(s) identify below):  Remarks	ion to Board ferences ion to Group <i>y Briet)</i> tion			
	SIGNATU	JRE OF APPLICANT, ATTORNEY, OR AGENT				
Firm or Individual name	Robert M. Wa	allace Reg. No. 29,119				
Signature	Koper	t M Walla				
Date	nov.	4,2003				
CERTIFICATE OF MAILING						
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:						

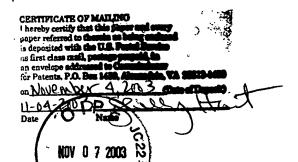
Typed or printed name

Shelly Hart

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



RW Ref. No.: APM/002-01

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
 James CARDUCCI, et al.

Entitled: MAGNETIC BARRIER FOR PLASMA
 IN CHAMBER EXHAUST
 Serial No.: 09/775,295

Filing Date: January 31, 2001

) Group Art Unit: 1746
)
Examiner: Unknown
)

#### INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached hereto is Form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that these documents be made of record and an initialed copy of each form be returned to the undersigned.

No representation is made that more pertinent material is not available or should not be considered by the Examiner. It is expected that the Patent and Trademark Office will independently conduct a complete search of appropriate art. Furthermore, no admission is being made that these documents are prior art, and applicant reserves the right to challenge any such consideration.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedures, section 609 and 707.05. If for some reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

111 4 2003

Respectfully submitted,

Robert M. Wallace

Registration No. 29,119 Attorney for Applicants

Robert M. Wallace Patent Counsel 2112 Eastman Avenue, Suite 102 Ventura, CA 93003 (805) 644-4035 NOV 0 7 2003

#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 1

**Application Number:** 

09/775,295

Filing Date:

January 31, 2001

First Named Inventor:

James CARDUCCI, et al.

Group Art Unit:

1746

**Examiner Name:** 

Unknown

Attorney Docket Number:

3536 C02

<u>U. S. PATENT</u>	DOCUMENTS

Examiner Initials	Document No	Publication Date	Name of Patentee or Applicant of Cited Document	Class	Subclass	Filing Date
	US-4,829,215	05-09-1989	KIM ET AL.	315	111.41	10-20-87
	US-5,471,822	12-05-1995	DUGAN ET AL.	53	532	09-30-91
	US-5,830,808	11-03-1998	CHAPMAN	438	729	10-07-96
	US-6,402,885 B2	06-11-2002	LOEWENHARDT ET AL.	156	345	01-31-01
<u> </u>	US-6,471,822 B1	10-29-2002	YIN ET AL.	156	345.49	03-05-99
	US-6,503,367 B1	01-07-2003	LOEWENHARDT ET AL.	156	345.48	03-09-00
			* .			
						i i

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Foreign Patent Document	Publication Date	Country	Name of Patentee or Applicant of Cited Document	Translation? (Yes/No/n/a)
Examiner		NON DA	TENTLITEDATUE	DE DOOL MENTO	

## NON PATENT LITERATURE DOCUMENTS

Examiner's Signature:

Initials

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.